PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwo

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/671,720-Conf. #9272
Filing Date	September 29, 2003
First Named Inventor	Harry A. Dugger, III, Ph.D.
Art Unit	1616
Examiner Name	M. Haghighatian
Attorney Docket Number	N9810.0029/P029

ENCLOSURES (Check all that apply)					
Fee Transi	mittal Form	Drawing(s)	After Allowance Communication to TC		
Fee	Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendme	nt/Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After	Final	Petition to Convert to a Provisional Application	Proprietary Information		
Affid	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter		
Extension	of Time Request	Terminal Disclaimer	X Other Enclosure(s) (please Identify below):		
Express Abandonment Request		Request for Refund	9 References Return Receipt Postcard		
x Information	n Disclosure Statement	CD, Number of CD(s)			
Certified Copy of Priority Document(s)		Landscape Table on CD			
	lissing Parts/ Application	Remarks			
	y to Missing Parts under FR 1.52 or 1.53				
	SIGNAT	URE OF APPLICANT, ATTORNEY, OF	RAGENT		
Firm Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP				
Signature	Signature // Fabili //				
Printed name	Elizabeth Parsons				
Date	May 3, 2006	Reg. No.	52,499		



Docket No.: N9810.0029/P029

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Harry A. Dugger, III, Ph.D. et al.

Application No.: 10/671,720

Confirmation No.: 9272

Filed: September 29, 2003

Art Unit: 1616

For:

BUCCAL, POLAR AND NON-POLAR

SPRAY CONTAINING ALPRAZOLAM

Examiner: M. Haghighatian

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued <u>February 28, 2006</u>, during the prosecution of international application no. PCT/US2004/031797, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search

Application No.: 10/671,720 Docket No.: N9810.0029/P029

Report and of each document required under 37 CFR 1.98(a)(2) cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed per se as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

I hereby certify, pursuant to 37 CFR 1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Furthermore, in accordance with 37 CFR 1.704(d), Applicant(s) note(s) that to our knowledge this communication was not received by any individual designated in 1.56(c) more than thirty days prior to the filing of this statement.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

Application No.: 10/671,720 Docket No.: N9810.0029/P029

hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. N9810.0029/P029.

Dated: May 3, 2006

Respectfully submitted,

James W. Brady, Jr.

Registration No.: 32,115

Elizabeth Parsons

Registration No.: 52,499

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 785-9700

Attorneys for Applicant



PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	Substitute for form 1449A/B/PTO		Complete if Known		
				Application Number	10/671,720-Conf. #9272
INFORMATION DISCLOSURE			SCLOSURE	Filing Date	September 29, 2003
S	STATEMENT BY APPLICANT			First Named Inventor	Harry A. Dugger, III, Ph.D.
				Art Unit	1616
(Use as many sheets as necessary)		Examiner Name	M. Haghighatian		
Sheet	1	of	1	Attorney Docket Number	N9810.0029/P029

	U.S. PATENT DOCUMENTS					
Examiner	Examiner Cite Document Number Pub			Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
	AA	US 4,863,720	09/05/89	Burghart et al		
	AB	US 4,863,970	09/05/89	Patel et al		
	AC	US 2003/077227	04/24/03	Dugger		

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	WO 97/33621	09/18/97	F.H. Faulding & Co, et al		-
	BB	WO 01/72338	10/04/01	Farmarc Nederland BV, et al		
	ВС	WO 95/31217	11/23/95	A/S Dumex et al		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	WANG, WENJU ET AL, Alprazolam Nasal Spray, Chemical Abstracts Service, 07/18/01, Inst. Of Medical Industry, Shandong Prov., Peop. Rep. China	
	СВ	International Search Report, 02/28/06	
	CC	Written Opinion of the International Searching Authority, 02/28/06	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered
Orginature	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.